

## CEP Magazine - November 2020 Ethics and compliance is the immune system for your organization

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If you're anything like me, it's common to get some version of, "So what exactly does ethics and compliance do?" from friends, family, and even coworkers. It's a great question with a lot of right answers! Some of us may answer with a brisk recap of company policies and regulatory enforcement appetite. Others may focus on effective speak-up cultures and training strategies. And yet I've found one simple analogy consistently helps folks from the C-suite to the front lines understand the value that effective ethics and compliance (E&C) programs can offer: E&C is the immune system for your organization.

If we think of an organization as a human body, I believe we can draw the following parallels:

- 1. **C-suite leadership is the brain**: Thinking two steps ahead, assessing the competitive landscape, synthesizing many variables at once to maximize utility.
- 2. **Mid-level management is the nervous system**: Transmitting signals from the brain, touching all parts of the organization, sending data back to optimize decision-making.
- 3. **Frontline workers are the muscles**: Moving products, turning valves, flexing the commercial engine, and making the enterprise move.
- 4. E&C together are the immune system: Let's break this down a bit further, shall we?

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