

Compliance Today – September 2020 How do we know we are OK? Audit!

By Catherine Boerner

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One of the best ways to know if everything is OK and in compliance is simply to do audits. I am not talking about a statistically valid sample of 30 or 60 records; I am talking about looking at five random records or picking five that are not random. I guess you could call it monitoring. The whole point is for the compliance department to sit down with directors/managers and discuss the process.

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