

# Counseling Compliance in Small to Medium Sized Organizations

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September 18, 2019

- “SMBs” (under 100 employees) make up 97% of US companies, but they likely face their compliance obligations without a CCO, full C&E department or perhaps even a GC.
- We will explore the unique challenges (and opportunities) of compliance leadership in SMBs – and nonprofits and governments – where budgets and headcount are limited, processes informal, and executive power dominant.
- We’ll share experiences and successes, including ways to use regular operational processes as tools to promote compliance, and to use the strong culture in these organizations to their ethical advantage.

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