

# Compliance Risk Reviews: Developing a Collaborative Compliance Monitoring Process

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- How confident are you that compliance risks are being well managed? Knowing if and how these risk areas are being monitored, the measures that are taken when gaps or failures are found, and how this is communicated within the organization
- Jump start your own compliance risk review program by using tools and templates that we've developed or compare our approach to what you may already have in place at your institution
- Enhance synergies and reduce redundancy between your compliance program and internal audit program by defining the purpose and the roles and responsibilities for these two functions

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