

Complete Healthcare Compliance Manual 2024

Resource: Sample Corporate Compliance Report

By Betsy Wade, MPH, CHC, CNA

CORPORATE COMPLIANCE REPORT

(DATE)	FOR (QUARTER)
--------	---------------

TRAINING AND EDUCATION

Type	Completion Target	Actual
New Hire Compliance (Month)	100%	

REPAYMENTS (Detail is included in the Compliance Report)

Resulting From	Number	Repayment Amount	Paid Within 60 Days
Internal Audit/Monitoring			
Internal Investigation			
Government Audit			
Total			

EXCLUDED PROVIDERS

Type	Number	Repayment
Employees		
Physicians/Providers		
Vendors		

EXPIRED LICENSES

Expired licenses	Cost Report Adj.

STAFFING RATIOS

Number out of compliance	Number receiving citations

PAYROLL-BASED JOURNAL (PBJ) FOR MOST RECENT AVAILABLE QUARTER

PBJ Issue	Number
Total Nursing Staffing Excessively Low	
Total Nursing Staffing Excessively High	
CNA Staffing Excessively High	

Days No RN Coverage > 7	
State Staffing Requirement Not Met	
Number of Buildings Dropped to 1-Star	

INVESTIGATIONS BY INTAKE

Type	Number
Hotline	
Direct to Compliance	

CARELINE CALLS AND INVESTIGATIONS BY TYPE

Issue	New ACP	New	Open	Closed	Unsubstantiated	Substantiated	Terminations
Abuse/Neglect							
Anti-kickback							
Code of Ethics/ Policy							
Documentation							
Elder Justice							

False Claims							
Gifts							
HIPAA							
Licensure							
OIG Investigations							
Other							
Resident Rights							
Resident Charges							
Non-Monetary							
OIG/GSA Exclusions							
STARK							
Total							

CARELINE CALLS AND INVESTIGATIONS BY ENTITY

Entity	New ACP	New	Open	Closed	Unsubstantiated	Substantiated	Terminations

Total							

This document is only available to subscribers. Please log in or purchase access.

[Purchase Login](#)