COSMOS
Navigate the Compliance Universe

Complete Healthcare Compliance Manual Resource: Sample Corporate Compliance Report

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CORPORATE COMPLIANCE REPORT

(DATE)	FOR (QUARTER)

TRAINING AND EDUCATION

Type	Completion Target	Actual
New Hire Compliance (Month)	100%	

REPAYMENTS(Detail is included in the Compliance Report)

Resulting From	Number	Repayment Amount	Paid Within 60 Days
Internal Audit/Monitoring			
Internal Investigation			
Government Audit			
Total			

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EXCLUDED PROVIDERS

Type	Number	Repayment
Employees		
Physicians/Providers		
Vendors		

EXPIRED LICENSES

Expired licenses	Cost Report Adj.

STAFFING RATIOS

Number out of compliance	Number receiving citations

PAYROLL-BASED JOURNAL (PBJ) FOR MOST RECENT AVAILABLE QUARTER

PBJ Issue	Number
Total Nursing Staffing Excessively Low	
Total Nursing Staffing Excessively High	
CNA Staffing Excessively High	

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Days No RN Coverage > 7	
State Staffing Requirement Not Met	
Number of Buildings Dropped to 1-Star	

INVESTIGATIONS BY INTAKE

Type	Number
Hotline	
Direct to Compliance	

CARELINE CALLS AND INVESTIGATIONS BY TYPE

Issue	New ACP	New	Open	Closed	Unsubstantiated	Substantiated	Terminations
Abuse/Neglect							
Anti-kickback							
Code of Ethics/ Policy							
Documentation							
Elder Justice							

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False Claims							
Gifts							
HIPAA							
Licensure							
OIG Investigations							
Other							
Resident Rights							
Resident Charges							
Non-Monetary							
OIG/GSA Exclusions							
STARK							
Total							

CARELINE CALLS AND INVESTIGATIONS BY ENTITY

Entity	New ACP	New	Open	Closed	Unsubstantiated	Substantiated	Terminations

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Total							

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