

Corporate Compliance Forms and Tools

Model Compliance Education Policy

Function	Effective Date	Pages	Revision Date
Global Compliance	[Effective Date]	3	[Revision Date]

Scope

This Compliance Education Policy applies to [Company], including its subsidiaries (collectively, “[Company Name]” or the “Company”) and the directors, officers, and employees of such entities (collectively, the “Covered Persons”).

Purpose

The objective of the compliance education program is to ensure Covered Persons understand how they can help mitigate and reduce the compliance risks faced by the Company. The goal of the program is to equip Covered Persons with an understanding of ethical decision-making in general as well as to provide them with knowledge about specific areas of regulatory and compliance risk and how they can use the Company’s compliance processes, procedures, and tools to help minimize those risks.

The compliance education program is intended to provide a level of education that teaches Covered Persons how to make ethical decisions and understand how they should apply their knowledge in different situations they may encounter in the course of their employment. The goal is to provide Covered Persons with a deep understanding of how to reduce compliance risks instead of enforcing memorization of detailed rules and guidelines.

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