

# Report on Research Compliance Volume 17, Number 3. February 20, 2020

## FY 2019 NSF OIG Investigative Case Activities

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Referrals <sup>[1]</sup> to DOJ Criminal Prosecutors	18
Referrals to Criminal State/Local Authorities	0
Indictments/Criminal Information	4
Arrests	0
Criminal Convictions/Pleas	3
Referrals to DOJ Civil Prosecutors	7
Referrals to Civil State/Local Authorities	0
Civil Settlements/Judgements/Compliance Plans	5
Investigative Reports Issued to NSF Management for Action <sup>[2]</sup>	35
Research Misconduct Findings Issued by NSF	6
Government-wide Suspensions/Debarments/	17
Administrative Actions taken by NSF <sup>[3]</sup>	56
Total Investigative recoveries <sup>[4]</sup>	\$6,433,956
Substantiated Whistleblower Retaliation	0
Substantiated Agency Interference	0

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