
15 U.S. Code § 3057

Rule violations and civil sanctions

(a) Description of rule violations

(1) In general

The Authority shall issue, by rule in accordance with section 3053 of this title, a description of safety, performance, and anti-doping and medication control rule violations applicable to covered horses and covered persons.

(2) Elements

The description of rule violations established under paragraph (1) may include the following:

- (A) With respect to a covered horse, strict liability for covered trainers for—
 - (i) the presence of a prohibited substance or method in a sample or the use of a prohibited substance or method;
 - (ii) the presence of a permitted substance in a sample in excess of the amount allowed by the horseracing anti-doping and medication control program; and
 - (iii) the use of a permitted method in violation of the applicable limitations established under the horseracing anti-doping and medication control program.
- (B) Attempted use of a prohibited substance or method on a covered horse.
- (C) Possession of any prohibited substance or method.
- (D) Attempted possession of any prohibited substance or method.
- (E) Administration or attempted administration of any prohibited substance or method on a covered horse.
- (F) Refusal or failure, without compelling justification, to submit a covered horse for sample collection.
- (G) Failure to cooperate with the Authority or an agent of the Authority during any investigation.
- (H) Failure to respond truthfully, to the best of a covered person's knowledge, to a question of the Authority or an agent of the Authority with respect to any matter under the jurisdiction of the Authority.
- (I) Tampering or attempted tampering with the application of the safety, performance, or anti-doping and medication control rules or process adopted by the Authority, including—
 - (i) the intentional interference, or an attempt to interfere, with an official or agent of the Authority;
 - (ii) the procurement or the provision of fraudulent information to the Authority or agent; and
 - (iii) the intimidation of, or an attempt to intimidate, a potential witness.
- (J) Trafficking or attempted trafficking in any prohibited substance or method.
- (K) Assisting, encouraging, aiding, abetting, conspiring, covering up, or any other type of intentional complicity involving a safety, performance, or anti-doping and medication control rule violation or the violation of a period of suspension or eligibility.

(L) Threatening or seeking to intimidate a person with the intent of discouraging the person from the good faith reporting to the Authority, an agent of the Authority or the Commission, or the anti-doping and medication control enforcement agency under section 3054(e) of this title, of information that relates to—

- (i) an alleged safety, performance, or anti-doping and medication control rule violation; or
- (ii) alleged noncompliance with a safety, performance, or anti-doping and medication control rule.

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