

42 C.F.R. § 512.370

Benchmarking and scoring.

(a) *General.* (1) CMS assesses the home dialysis rate and transplant rate for each ETC Participant against the applicable benchmarks to calculate an—

(i) Achievement score, as described in paragraph (b) of this section; and

(ii) Improvement score, as described in paragraph (c) of this section.

(2)

(i) CMS calculates the ETC Participant's MPS as the weighted sum of the higher of the achievement score or the improvement score for the ETC Participant's home dialysis rate and transplant rate, as described in paragraph (d) of this section.

(ii) The ETC Participant's MPS determines the ETC Participant's PPA, as described in § 512.380.

(b) *Achievement Scoring.* CMS assesses ETC Participant performance at the aggregation group level on the home dialysis rate and transplant rate against achievement benchmarks constructed based on the home dialysis rate and transplant rate among aggregation groups of ESRD facilities and Managing Clinicians located in Comparison Geographic Areas during the Benchmark Year. Achievement benchmarks are calculated as described in paragraph (b)(1) of this section and, for MY3 through MY10, are stratified as described in paragraph (b)(2) of this section. For MY5 through MY10, the ETC Participant's achievement score is subject to the restriction described in paragraph (b)(3) of this section.

(1) *Achievement benchmarks.* CMS uses the following scoring methodology to assess an ETC Participant's achievement score.

Table 1 to § 512.370(b)(1)—ETC Model Schedule of PPA Achievement Benchmarks by Measurement Year

MY1 and MY2	MY3 and MY4	MY5 and MY6	MY7 and MY8	MY9 and MY10	Points
90th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year	1.1 * (90th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.2 * (90th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.3 * (90th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.4 * (90th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	2

75th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year	1.1 * (75th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.2 * (75th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.3 * (75th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.4 * (75th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.5
50th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year	1.1 * (50th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.2 * (50th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.3 * (50th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.4 * (50th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1
30th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year	1.1 * (30th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.2 * (30th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.3 * (30th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.4 * (30th+ Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	0.5
<30th Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year	1.1 * (<30th Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.2 * (<30th Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.3 * (<30th Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	1.4 * (<30th Percentile of benchmark rates for Comparison Geographic Areas during the Benchmark Year)	0

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