

Compliance Today – February 2020 Employee turnover creates compliance risk

By Catherine Boerner

Catherine Boerner (cboerner@boernerconsultingllc.com) is President of Boerner Consulting, LLC, in New Berlin, WI.

- <https://www.linkedin.com/in/catherineboerner/>

Well-written policies and procedures are the main controls an organization can have in place to maintain compliance with risk areas. If no current well-written policies and procedures explain how to stay in compliance with a risk area, employee turnover exposes the organization to risk over time. Leadership may assume that compliance with a “well-known” law or regulation will not be misinterpreted or not followed; however, if there is no written policy and procedure plus education for new employees who directly carry out compliance with the risk area, then the likelihood of errors increases substantially.

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