

Report on Research Compliance Volume 18, Number 11. October 28, 2021

NSF Pre-award and Post-award Disclosures^{1/2/3} Relating to the Biographical Sketch and Current and Pending Support, September 1, 2021

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Table Key

* = for new support only ◆ = If undisclosed at the time of proposal submission

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year	✓					
Academic, professional ⁴ or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	✓					
All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or <i>directly</i> to the individual		✓		✓*	✓◆	
In-kind contributions that support the research activity for use on the project/proposal being proposed			✓			
In-kind contributions not intended for use on the project/proposal being proposed to NSF and have an associated time commitment		✓		✓*	✓◆	
Consulting that is permitted by an individual's appointment and consistent with the proposing organization's "Outside Activities" policies and procedures						✓
Consulting that falls outside of an individual's appointment		✓		✓*	✓◆	
Travel supported/paid by an external entity to attend a conference or workshop						✓
Travel supported/paid by an external entity to perform research activities with an associated time commitment		✓		✓*	✓◆	
Honoraria						✓
Teaching commitments						✓
Startup company based on organization-licensed Intellectual Property (IP)						✓
Startup company based on nonorganization-licensed IP		✓		✓*	✓◆	
Unrestricted Gifts ⁵						✓
Postdoctoral scholars, students or visiting scholars supported by an external entity, and there is an associated time commitment		✓		✓*	✓◆	
Mentoring as part of appointment						✓
Academic Year Salary						✓

Source: NSF Office of Budget Finance and Award Management, <https://bit.ly/3oMJT0y>

Endnotes

1. A comprehensive listing of post-award notifications and requests for prior approval is contained in the general conditions incorporated by reference into each NSF award.
2. This table does not address disclosures submitted regarding Collaborators and Other Affiliations that are

used to manage reviewer selection.

3. This table does not address disclosures to grantees of financial conflicts of interest under PAPPG Chapter IX.A.
4. Senior personnel must identify all current domestic or foreign professional appointments outside of the individual's academic, professional, or institutional appointments at the proposing organization.
5. If an item or service meets the definition of a gift, it is not reported. A gift includes any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, license, special access, equipment time, samples, research data, or other item having monetary value. A gift also includes services as well as gifts of training, transportation, local travel, lodging, meals, research hours, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has occurred. A gift by definition is given without expectation of anything in return.

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