

## Compliance Today – January 2020 Change monitoring

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By John Falcetano

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**A critical element of any effective compliance program is monitoring. As a service to our members, each month this column focuses on potential monitors for specific business lines.**

One important element of any compliance program is monitoring. In past articles, we have focused on different risks that compliance professionals should consider monitoring as part of an effective compliance program. In this article, the focus will be on monitoring change. If you are planning on improving a regulatory compliance process, it will require change. Change, in and of itself, is often difficult because some individuals are resistant to change.

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