

## 42 C.F.R. § 441.353

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### Supporting documentation required.

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The agency must furnish CMS with sufficient information to support the assurances required under § 441.352, in order to meet the requirement that the assurances are satisfactory. At a minimum, this information must consist of the following:

(a) *Safeguards*. A description of the safeguards necessary to protect the health and welfare of beneficiaries.

This information must include:

- (1) A copy of the standards established by the State for facilities (in which services will be furnished) that are covered by section 1616(e) of the Act.
- (2) The minimum educational or professional qualifications of the providers of the services.
- (3) A description of the administrative oversight mechanisms established by the State to ensure quality of care.

(b) *Records*. A description of the records and information that are maintained by the agency and by providers of services to support financial accountability, information regarding how the State meets the requirement for financial accountability, and an explanation of how the State assures that there is an audit trail for State and Federal funds expended for section 1915(d) home and community-based waiver services. If the State has an approved Medicaid Management Information System (MMIS), this system must be used to process individual claims data and account for funds expended for services furnished under the waiver.

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