

CEP Magazine – February 2018 Passion for Compliance

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As a compliance officer, you have to be passionate about preventing, finding, and fixing problems. If you are, you will prevent, find, and fix problems. People tend to be successful at what they are passionate about. All too often we have compliance officers who are passionate, but they are passionate about one thing. Some are passionate about building an ethical culture. They may have the best ethical culture, but they will not find and fix problems created by unethical people. You can't have a successful compliance program if you don't look for problems. Some are passionate about the rule of law. They may have all the answers about what is within the rule of law, but passion about the rule of law will not educate people as to what the rule of law is. A compliance program will not be successful without education. Some are passionate about risk assessments, but predicting where the big problems might be doesn't find the problems that might be there. Compliance programs will not be successful if you don't audit to see if the problem is where you suspect it is.

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