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## 42 C.F.R. § 1001.2

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### Definitions.

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For purposes of this part:

*Agent* means any person who has express or implied authority to obligate or act on behalf of an entity.

*Controlled substance* means a drug or other substance, or immediate precursor:

- (a) Included in schedules I, II, III, IV or V of part B of subchapter I in 21 U.S.C. chapter 13, or
- (b) That is deemed a controlled substance by the law of any State.

*Convicted* means that—

(a) A judgment of conviction has been entered against an individual or entity by a Federal, State or local court, regardless of whether:

- (1) There is a post-trial motion or an appeal pending, or
- (2) The judgment of conviction or other record relating to the criminal conduct has been expunged or otherwise removed;

(b) A Federal, State or local court has made a finding of guilt against an individual or entity;

(c) A Federal, State or local court has accepted a plea of guilty or *nolo contendere* by an individual or entity; or

(d) An individual or entity has entered into participation in a first offender, deferred adjudication or other program or arrangement where judgment of conviction has been withheld.

*HHS* means Department of Health and Human Services.

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