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# 42 C.F.R. § 414.1305

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## Definitions.

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As used in this section, unless otherwise indicated—

*Additional performance threshold* means the numerical threshold for a MIPS payment year against which the final scores of MIPS eligible clinicians are compared to determine the additional MIPS payment adjustment factors for exceptional performance.

*Advanced Alternative Payment Model (Advanced APM)* means an APM that CMS determines meets the criteria set forth in § 414.1415.

*Affiliated practitioner* means an eligible clinician identified by a unique APM participant identifier on a CMS-maintained list who has a contractual relationship with the APM Entity for the purposes of supporting the APM Entity's quality or cost goals under the Advanced APM.

*Affiliated practitioner list* means the list of Affiliated Practitioners of an APM Entity that is compiled from a CMS-maintained list.

*Aligned Other Payer Medical Home Model* means an aligned other payer payment arrangement (not including a Medicaid payment arrangement) operated by a payer formally partnering in a CMS Multi-Payer Model that is a Medical Home Model through a written expression of alignment and cooperation, such as a memorandum of understanding (MOU) with CMS, and is determined by CMS to have the following characteristics:

- (1) The other payer payment arrangement has a primary care focus with participants that primarily include primary care practices or multispecialty practices that include primary care physicians and practitioners and offer primary care services. For the purposes of this provision, primary care focus means the inclusion of specific design elements related to eligible clinicians practicing under one or more of the following Physician Specialty Codes: 01 General Practice; 08 Family Medicine; 11 Internal Medicine; 16 Obstetrics and Gynecology; 37 Pediatric Medicine; 38 Geriatric Medicine; 50 Nurse Practitioner; 89 Clinical Nurse Specialist; and 97 Physician Assistant;
- (2) Empanelment of each patient to a primary clinician; and
- (3) At least four of the following:
  - (i) Planned coordination of chronic and preventive care.
  - (ii) Patient access and continuity of care.
  - (iii) Risk-stratified care management.
  - (iv) Coordination of care across the medical neighborhood.

(v) Patient and caregiver engagement.

(vi) Shared decision-making.

(vii) Payment arrangements in addition to, or substituting for, fee-for-service payments (for example, shared savings or population-based payments).

*Alternative Payment Model (APM)* means any of the following:

(1) A model under section 1115A of the Act (other than a health care innovation award).

(2) The shared savings program under section 1899 of the Act.

(3) A demonstration under section 1866C of the Act.

(4) A demonstration required by Federal law.

*Ambulatory Surgical Center (ASC)-based MIPS eligible clinician* means:

(1) For the 2019 and 2020 MIPS payment years, a MIPS eligible clinician who furnishes 75 percent or more of his or her covered professional services in sites of service identified by the Place of Service (POS) codes used in the HIPAA standard transaction as an ambulatory surgical center setting based on claims for a period prior to the performance period as specified by CMS; and

(2) Beginning with the 2021 MIPS payment year, a MIPS eligible clinician who furnishes 75 percent or more of his or her covered professional services in sites of service identified by the POS codes used in the HIPAA standard transaction as an ambulatory surgical center setting based on claims for the MIPS determination period.

*APM Entity* means an entity that participates in an APM or other payer arrangement through a direct agreement with CMS or an other payer or through Federal or State law or regulation.

*APM Entity group* means the group of eligible clinicians participating in an APM Entity, as identified by a combination of the APM identifier, APM Entity identifier, Taxpayer Identification Number (TIN), and National Provider Identifier (NPI) for each participating eligible clinician.

*APM Incentive Payment* means the lump sum incentive payment for a year paid to an eligible clinician who is a QP for the year from 2019 through 2024.

*Attestation* means a secure mechanism, specified by CMS, with respect to a particular performance period, whereby a MIPS eligible clinician, subgroup, or group may submit the required data for the Promoting Interoperability or the improvement activities performance categories of MIPS in a manner specified by CMS.

*Attributed beneficiary* means a beneficiary attributed to the APM Entity under the terms of the Advanced APM as indicated on the most recent available list of attributed beneficiaries at the time of a QP determination.

*Attribution-eligible beneficiary* means a beneficiary who during the QP Performance Period:

(1) Is not enrolled in Medicare Advantage or a Medicare cost plan;

(2) Does not have Medicare as a secondary payer;

(3) Is enrolled in both Medicare Parts A and B;

- (4) Is at least 18 years of age;
- (5) Is a United States resident; and
- (6) Has a minimum of one claim for evaluation and management services furnished by an eligible clinician who is in the APM Entity for any period during the QP Performance Period or, for an Advanced APM that does not base attribution on evaluation and management services and for which attributed beneficiaries are not a subset of the attribution-eligible beneficiary population based on the requirement to have at least one claim for evaluation and management services furnished by an eligible clinician who is in the APM Entity for any period during the QP Performance Period, the attribution basis determined by CMS based upon the methodology the Advanced APM uses for attribution, which may include a combination of evaluation and management and/or other services.

*Certified Electronic Health Record Technology (CEHRT)* means the following:

- (1) For any calendar year before 2019, EHR technology (which could include multiple technologies) certified under the ONC Health IT Certification Program that meets one of the following:

- (i) The 2014 Edition Base EHR definition (as defined at 45 CFR 170.102) and that has been certified to the certification criteria that are necessary to report on applicable objectives and measures specified for the MIPS advancing care information performance category, including the applicable measure calculation certification criterion at 45 CFR 170.314(g)(1) or (2) for all certification criteria that support an objective with a percentage-based measure.

- (ii) Certification to—

(A) The following certification criteria:

- (1) CPOE at—

- (i) 45 CFR 170.314(a)(1), (18), (19) or (20); or

- (ii) 45 CFR 170.315(a)(1), (2) or (3).

- (2)(i) Record demographics at 45 CFR 170.314(a)(3); or

- (ii) 45 CFR 170.315(a)(5).

- (3)(i) Problem list at 45 CFR 170.314(a)(5); or

- (ii) 45 CFR 170.315(a)(6).

- (4)(i) Medication list at 45 CFR 170.314(a)(6); or

- (ii) 45 CFR 170.315(a)(7).

- (5)(i) Medication allergy list 45 CFR 170.314(a)(7); or

- (ii) 45 CFR 170.315(a)(8).

- (6)(i) Clinical decision support at 45 CFR 170.314(a)(8); or

- (ii) 45 CFR 170.315(a)(9).

(7) Health information exchange at transitions of care at one of the following:

- (i) 45 CFR 170.314(b)(1) and (2).
- (ii) 45 CFR 170.314(b)(1), (b)(2), and (h)(1).
- (iii) 45 CFR 170.314(b)(1), (b)(2), and (b)(8).
- (iv) 45 CFR 170.314(b)(1), (b)(2), (b)(8), and (h)(1).
- (v) 45 CFR 170.314(b)(8) and (h)(1).
- (vi) 45 CFR 170.314(b)(1), (b)(2), and 170.315(h)(2).
- (vii) 45 CFR 170.314(b)(1), (b)(2), (h)(1), and 170.315(h)(2).
- (viii) 45 CFR 170.314(b)(1), (b)(2), (b)(8), and 170.315(h)(2).
- (ix) 45 CFR 170.314(b)(1), (b)(2), (b)(8), (h)(1), and 170.315(h)(2).
- (x) 45 CFR 170.314(b)(8), (h)(1), and 170.315(h)(2).
- (xi) 45 CFR 170.314(b)(1), (b)(2), and 170.315(b)(1).
- (xii) 45 CFR 170.314(b)(1), (b)(2), (h)(1), and 170.315(b)(1).
- (xiii) 45 CFR 170.314(b)(1), (b)(2), (b)(8), and 170.315(b)(1).
- (xiv) 45 CFR 170.314(b)(1), (b)(2), (b)(8), (h)(1), and 170.315(b)(1).
- (xv) 45 CFR 170.314(b)(8), (h)(1), and 170.315(b)(1).
- (xvi) 45 CFR 170.314(b)(1), (b)(2), (b)(8), (h)(1), 170.315(b)(1), and 170.315(h)(1).
- (xvii) 45 CFR 170.314(b)(1), (b)(2), (b)(8), (h)(1), 170.315(b)(1), and 170.315(h)(2).
- (xviii) 45 CFR 170.314(h)(1) and 170.315(b)(1).
- (xix) 45 CFR 170.315(b)(1) and (h)(1).

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