
15 U.S. Code § 78d-7

Triennial report on personnel management

(a) Triennial report required

Once every 3 years, the Comptroller General of the United States shall submit a report to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives on the quality of personnel management by the Commission.

(b) Contents of report

Each report under subsection (a) shall include—

- (1) an evaluation of—
 - (A) the effectiveness of supervisors in using the skills, talents, and motivation of the employees of the Commission to achieve the goals of the Commission;
 - (B) the criteria for promoting employees of the Commission to supervisory positions;
 - (C) the fairness of the application of the promotion criteria to the decisions of the Commission;
 - (D) the competence of the professional staff of the Commission;
 - (E) the efficiency of communication between the units of the Commission regarding the work of the Commission (including communication between divisions and between subunits of a division) and the efforts by the Commission to promote such communication;
 - (F) the turnover within subunits of the Commission, including the consideration of supervisors whose subordinates have an unusually high rate of turnover;
 - (G) whether there are excessive numbers of low-level, mid-level, or senior-level managers;
 - (H) any initiatives of the Commission that increase the competence of the staff of the Commission;
 - (I) the actions taken by the Commission regarding employees of the Commission who have failed to perform their duties and circumstances under which the Commission has issued to employees a notice of termination; and
 - (J) such other factors relating to the management of the Commission as the Comptroller General determines are appropriate;

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